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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

TRAN et al.

Title:

IMMUNE RESPONSE-

ASSOCIATED PROTEINS

Appl. No.:

10/535635

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Filing Date:

11/25/2003

Examiner:

Unassigned

Art Unit:

1644

Confirmation

7561

Number:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

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By Mich M. Arla

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Date Submitted: September 28, 2006

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	Complete if Known	
Application Number	10/535,635	
Filing Date	11/25/2003	
First Named Inventor	Uyen K. Tran	
Group Art Unit	1644	
Examiner Name	Unassigned	
Attorney Docket Number	071949-9701	

				U.S. PATENT DOCUMENTS	S	
Examiner Initials*	Cite No.1	U.S. Patent D	Code (if	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,175,383		Leder et al.	December 29, 1992	
	A2	5,270,163		Gold et al.	December 14, 1993	
	А3	5,474,796		Brennan	December 12, 1995	
	A4	5,605,662		Heller et al.	February 25, 1997	
	A5	5,686,242		Bruice et al.	November 11, 1997	
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	A8	5,804,413		DeLuca	September 8, 1998	
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	A10	5,837,458		Minshull et al.	November 17, 1998	
	A11	5,840,484		Seilhamer et al.	November 24, 1998	
	A12	5,910,434		Rigg et al.	June 8, 1999	
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	A14	5,932,435		Atkins et al.	August 3, 1999	
	A15	5,997,848		Patton et al.	December 7, 1999	
	A16	6,022,691		Bruice et al.	February 8, 2000	
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	F	OREIGN PATENT DOCUMENT	S	<u> </u>	
Examiner Cite	Foreign Patent Document	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	T ⁶

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	Substitute for fo	orm 1449B	/PTO		Complete if Known	
	INFORMATION	DISCLO	SURE	Application Number	10/535,635	
	STATEMENT E	SY APPLI	CANT	Filing Date	11/25/2003	
	Data Submittad: Si	antambar	20 2006	First Named Inventor	Uyen K. Tran	
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Sheet	2	of	14	Attorney Docket Number	071949-9701	

	Office ³ Number ⁴ Kind Code ⁵ (if known)			
B1	WO84/03564	COMMW SERUM LAB COMMISSION (AU)	1984-09-13	
B2	WO95/25116	CALIFORNIA INST OF TECHN (US)	1995-09-21	
В3	WO95/35505	UNIV LELAND STANFORD JUNIOR (US)	1995-12-28	

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	C1	Adam, M.A. et al, "Identification of a signal in a murine retrovirus that is sufficient for packaging of nonretroviral RNA into virions," (1988) J. Virol. 62:3802-3806	
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	Jale Submilleu. Se	ptember	26, 2000	Group Art Unit	1644	
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	Substitute for	or form 1449B	/PTO	Complete if Known		
	INFORMATI	ON DISCLO	SURE	Application Number	10/535,635	77
	STATEMEN	T BY APPLI	CANT	Filing Date	11/25/2003	
r	Date Submitted	· Contombo	20 2006	First Named Inventor	Uyen K. Tran	
•	Jale Submitted	. September	26, 2000	Group Art Unit	1644	
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		NON PATENT LITERATURE DOCUMENTS	_	
Examiner Initials*	er Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the art			
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Application Number	10/535,635			
Filing Date	11/25/2003			
First Named Inventor	Uyen K. Tran			
Group Art Unit	1644			
Examiner Name	Unassigned			
Attorney Docket Number	071949-9701			

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	INFORMATION	N DISCLOS	SURE	Application Number	10/535,635	
	STATEMENT	BY APPLIC	CANT	Filing Date	11/25/2003	
	Date Submitted: S	Centember	28 2006	First Named Inventor	Uyen K. Tran	
	Date Submitted. S	september .	20, 2000	Group Art Unit	1644	
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Sheet	8	of	14	Attorney Docket Number	071949-9701	

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	STATEMEN	T BY APPLI	CANT	Filing Date	11/25/2003	
	Date Submitted	· Santambar	28 2006	First Named Inventor	Uyen K. Tran	
,	Jale Submilled	. September	26, 2006	Group Art Unit	1644	
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	STATEMEN'	T BY APPL	ICANT	Filing Date	11/25/2003
-	ate Submitted:	Santambar	28 2006	First Named Inventor	Uyen K. Tran
L	vale Submitted.	September	20, 2000	Group Art Unit	1644
-	(use as many s	heets as ne	cessary)	Examiner Name	Unassigned
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	INFORMATIO	N DISCLO	SURE	Application Number	10/535,635	
	STATEMENT	BY APPLI	CANT	Filing Date	11/25/2003	
r	Date Submitted: S	Santambar	20 2006	First Named Inventor	Uyen K. Tran	
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